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JC997 U.S. PTO

Express Mail Label No.: EL657450683US

Docket No.: C18497/115275

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC841 U.S. PTO

09/760113

01/12/01

In re Application of)

BARRETT TOAN and ROBERT NEASE)

Filed Concurrently Herewith on January 12, 2001)

For: SYSTEM AND METHOD FOR OPTIMIZING)

BENEFIT PLAN DESIGNS)

Assistant Commissioner for Patents

Box Patent Application

Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the utility patent application of Barrett Toan and Robert Nease for "SYSTEM AND METHOD FOR OPTIMIZING BENEFIT PLAN DESIGNS."

1. [X] The application has 32 pages (including claim pages and abstract).
2. [X] Seven (7) sheets of drawing are enclosed. The drawings are:
 - a. [] formal; or
 - b. [X] informal; formal drawings will be submitted in due course.
3. [] The declaration and power of attorney
 - a. [] has been executed by the inventors; or
 - b. [X] has not been executed by all the inventors. A signed declaration and power of attorney will be submitted in due course.
4. [] An associate power of attorney is enclosed.
5. [] An assignment of the invention to Express Scripts, Inc. and a Recordation Form Cover are enclosed. Please record the Assignment and return it to the undersigned. A duplicate copy of this paper is enclosed.

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- a. ☐ A check for \$40 to cover the recording fee is enclosed. See paragraph 10.c., below.
- b. ☐ Please charge the recording fee to our Deposit Account No. 02-4467. A duplicate copy of this paper is enclosed.
6. ☐ Priority is hereby claimed under 35 USC §119.
- a. ☐ A certified copy of each of the priority documents is enclosed.
- b. ☐ The certified priority document(s) will follow.
7. ☐ A verified statement to establish small entity status under 37 CFR Section 1.9 and 37 CFR Section 1.27 is enclosed.
8. ☒ The filing fee is calculated below.

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For	<u>Col. 1</u> No. Filed		<u>Col. 2</u> No. Extra	<u>Small Entity</u> Rate Fee	or	<u>Other Than A</u> <u>Small Entity</u> Rate Fee
Basic Fee:				N/A	or	\$710
Total Claims:	17	- 20 =	0	N/A	or	N/A
Indep. Claims		- 3 =	0	N/A	or	N/A
Multiple Dependent Claims Presented				N/A	or	N/A
Total: \$710						

*If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

- a. ☒ A check for **\$710.00** to cover the filing fee is enclosed. See paragraph 10a and 10d, below.
- b. ☐ Please charge Deposit Account No. 02-4467 in the amount of \$____. A duplicate copy of this paper is enclosed.
9. ☐ A Preliminary Amendment is enclosed.
- a. ☐ No additional fee is due.
- b. ☐ A check in the amount of \$____ to cover the cost of additional claims is enclosed. See paragraph 10.a., below.

- c. ☐ Please charge our Deposit Account 02-4467 in the amount of \$____. A duplicate copy of this paper is enclosed.

10. ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this application or with recording any Assignment concerning it, or to credit any overpayment, to Deposit Account No. 02-4467, unless otherwise paid by check.

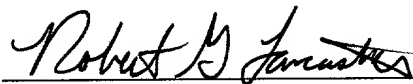
- a. ☒ If our check is missing or otherwise insufficient, or if any additional fees are required, the Commissioner is authorized to charge (or credit any overpayment) to Deposit Account No. 02-4467. A duplicate copy of this paper is enclosed.
- b. ☒ Any additional filing or other fees required under 37 CFR Section 1.16, including any fees for presentation of extra claims.
- c. ☒ Any patent application processing fees under 37 CFR Section 1.17.
- d. ☒ Any additional Assignment recording fees under 37 CFR Section 1.21(h).

Note: See 37 CFR §1.311(b) regarding authorization to pay the issue fee from deposit account.

11. ☐ An Information Disclosure Statement is enclosed.

Respectfully submitted,

By:



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CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No.: EL657450683US
Date of Deposit: January 12, 2001

I hereby certify that the following:

- Certificate of Express Mailing;
- Transmittal letter (3 pages, in duplicate);
- Patent Application (32 pages);
- Drawings (7 sheets);
- A check in the amount of \$710.00 for Application filing fee; and
- Return postcard.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR Section 1.10 on the Date of Deposit indicated above in an envelope addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Type Name: Robert G. Lancaster
Signature of Person Making Deposit

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